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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/975,127 Filing Date **TRANSMITTAL** October 10, 2001 First Named Inventor **FORM** Eitan T. Wiener Art Unit 3731 (to be used for all correspondence after initial filing) Examiner Name B. C. Pantuck Attorney Docket Number 02640/100G820-US1 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): PTO/SB/08a (listing references); Request for Refund **Express Abandonment Request** Return Receipt Postcard; Certificate of Express Mailing x Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name DARBY & DARBY P.C. Signature Printed name Louis J. Delouidice Date Reg. No. 47,522 January 6, 2005

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Docket No.: 02640/100G820-US1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Eitan T. Wiener et al.

Application No.: 09/975,127

Customer No.: 07278

Filed: October 10, 2001

Art Unit: 3731

For: API

APPARATUS AND METHOD FOR

Examiner: B. C. Pantuck

ALERTING GENERATOR FUNCTIONS IN AN ULTRASONIC SURGICAL SYSTEM

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

A European Search Report dated December 1, 2004, which issued during the prosecution of Applicant's European Patent Application No. 01308893.5, which corresponds to the present application is enclosed, only International Application Publication No. WO 93/06776, published on April 15, 1993 and U.S. Patent No. 5,897,569 are cited in the present Information Disclosure Statement. The remaining references cited in the European Search Report were cited in an Information Disclosure Statement dated October 10, 2001 and considered by the Examiner on March 10, 2003.

Application No.: 09/975,127 3 Docket No.: 02640/100G820-US1

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: January 6, 2005

Respectfully submitted,

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rark Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known . Substitute for form 1449A/B/PTO Application Number 09/975,127 INFORMATION DISCLOSURE Filing Date October 10, 2001 STATEMENT BY APPLICANT Eitan T. Wiener First Named Inventor Art Unit 3731 (Use as many sheets as necessary) Examiner Name B. C. Pantuck 02640/100G820-US1 Sheet of 1 Attorney Docket Number

		U.S. PA	TENT DOCUMENTS	
Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	US-5,897,569	04-27-1999	Scott Kellogg et al.	
	No.1	No. Number-Kind Code (if known)	Cite No. 1 Number-Kind Code 2 ( if known) Publication Date MM-DD-YYYY	No. Number-Kind Code (if known)  Number-Kind Code (if known)  Number-Kind Code (if known)

FOREIGN PATENT DOCUMENTS					_	
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	ВА	WO-93/06776 A	04-15-1993	Interflo Medical Inc.		П

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